

# eCAGE

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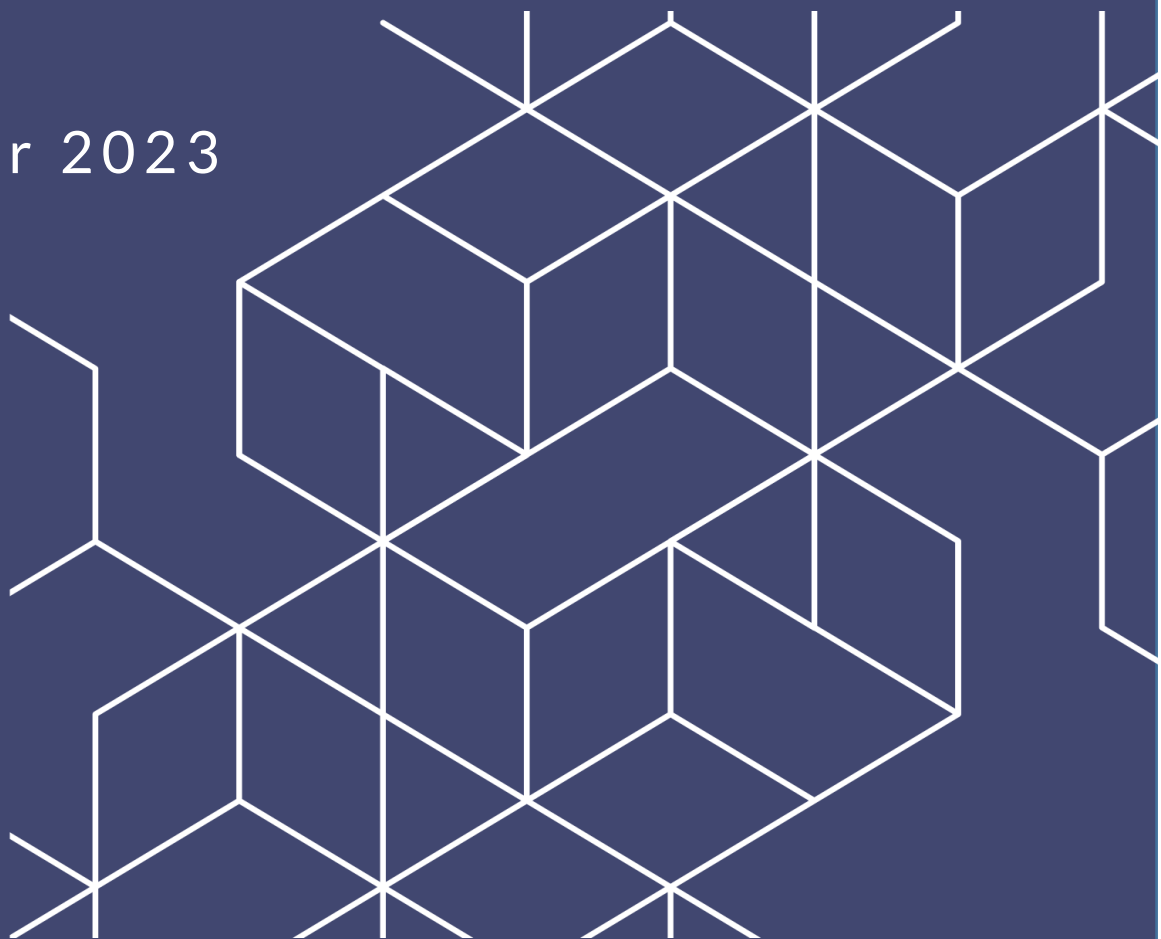
Audit



## Release Notes

v5.11.0

November 2023



# eCASE Audit 5.11.0 Release Notes

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# 1 Product Enhancements

## 1.1 Bulk Assign Procedures

ID #32955

We added the ability to multi-select and bulk assign procedures, so you no longer need to individually assign procedure reviews. Navigate to the *Procedure* tab, then select **Bulk Review**.

Project Staff Milestones Project Cost Procedure Attachments Observations Closing Checklist Approvals Assignments Action Log Offline Client Audit Assistance

Initiating Office : AINS - AINS Folder Owner : Admin Admin

[Collapse All](#) [Expand All](#) [Import Procedures](#) [New Procedure Group](#) [Procedure Report](#) [Save Program as Template](#) [Bulk Review](#)

Filter By Group  Filter By Status  Assigned To

	Reviewed Date	Reviewed By	Status	Modified	Modified By	Assigned To	Edit	New Procedure	
<b>A.Planning - (2 - Procedure(s))</b>									
A.1. Conduct Preliminary Research on the Program			<input type="radio"/>				Review	Move	View
A.2. Conduct Planning and Scoping Meeting			<input type="radio"/>				Review	Move	View
<b>B.Field Work - (2 - Procedure(s))</b>									
B.1. Review existing law			<input type="radio"/>				Review	Move	View
B.2. Review Data Policy			<input type="radio"/>				Review	Move	View

The *Bulk Review of Procedures* screen opens. Here, you can fill out the **(A)** *Assigned to*, *Due Date*, and *Comments* fields and click the **(B)** **checkboxes** next to the procedures you'd like to assign. Then, click **(C)** **Submit for Review** to complete the bulk assignment.



## Product Enhancements

Project	Staff	Milestones	Project Cost	Procedure	Attachments	Observations	Closing Checklist	Approvals	Assignments
Initiating Office : AINS - AINS				Folder Owner : Admin Admin					
<b>Bulk Review of Procedures</b>									
Assigned To <span style="border: 1px solid red; border-radius: 50%; padding: 2px 5px;">A</span>									
Due Date				mm/dd/yyyy					
Comments									
				<span style="border: 1px solid red; border-radius: 50%; padding: 2px 5px;">C</span> Submit For Review		Back			
<b>Choose Procedures</b>									
<input type="checkbox"/> Procedure									
<input type="checkbox"/> A.1. Conduct Preliminary Research on the Program									
<input type="checkbox"/> A.2. Conduct Planning and Scoping Meeting									
<input type="checkbox"/> B.1. Review existing law									
<input type="checkbox"/> B.2. Review Data Policy <span style="border: 1px solid red; border-radius: 50%; padding: 2px 5px;">B</span>									
				Pages: <span style="border: 1px solid black; padding: 0 5px;">▼</span>					

## 1.2 Respond to Notes

ID# 33029

You can now respond directly to notes on the *Procedure* tab. This allows for streamlined correspondence between two auditors, or an auditor and supervisor. To submit a reply, locate the *Note* you'd like to reply to and click the **Reply** button.

Project	Staff	Milestones	Project Cost	Procedure	Attachments	Observations	Closing Checklist	Approvals	Assignments	Action Log	Offline
Initiating Office : AINS - AINS				Folder Owner : Admin Admin							
<b>Reviewer Notes - Purpose</b>											
Sequence #		A.3			Status		●				
Procedure Name		1									
Purpose											
<b>Add Notes</b>											
Comments					Assignee		<span style="border: 1px solid black; padding: 0 5px;">▼</span>			<span style="background-color: #007bff; color: white; padding: 2px 5px;">Add</span>	
		<span style="background-color: #007bff; color: white; padding: 2px 5px;">Bulk Resolve</span>									
<b>Notes</b>											
Date	Staff	Assignee		Comments							
<span style="background-color: #dc3545; color: white; padding: 0 5px;">-</span> 11/02/2023 03:53 PM	Admin Admin	Admin Admin		Sample			<span style="background-color: #007bff; color: white; padding: 2px 5px;">Resolve</span>		<span style="background-color: #007bff; color: white; padding: 2px 5px;">Reply</span>		<span style="background-color: #dc3545; color: white; padding: 2px 5px;">Delete</span>
11/02/2023 03:53 PM	Admin Admin	Admin Admin		Reply					<span style="border: 1px solid red; border-radius: 50%; padding: 2px 5px;">Reply</span>		<span style="background-color: #dc3545; color: white; padding: 2px 5px;">Delete</span>
<span style="background-color: #007bff; color: white; padding: 2px 5px;">Back</span>											



## 1.3 Finding URL

ID# 33044

We've added a *Finding URL* and a **Copy Finding URL** button to the *Edit Finding* page so you can now easily copy, paste, and link findings to Procedure and Attachment workpapers.

Initiating Office : [AINS - AINS](#)

Folder Owner : [Admin Admin](#)

Edit Finding	
	<a href="#">Update</a> <a href="#">Back</a> <a href="#">Recommendations (0)</a>
Finding URL	<a href="https://qa-ecase-106audit.ains-inc.com/eCase/Corres/FolderInformation.aspx?From=LoadFolder&amp;folderid=11199&amp;templateId=1160&amp;hiddenTab=Project%20Finding%20Edit&amp;finding_row_id=4924564">https://qa-ecase-106audit.ains-inc.com/eCase/Corres/FolderInformation.aspx?From=LoadFolder&amp;folderid=11199&amp;templateId=1160&amp;hiddenTab=Project%20Finding%20Edit&amp;finding_row_id=4924564</a> <a href="#">Copy Finding URL</a>
Finding Number	1 <span>Status</span>
Observation	

## 1.4 Action Closure Comments

ID# 33703

We added the ability to remove Review Comments from the *Action History* log upon project closure. The option can be turned on and off in the *Configuration* tab (**Main Menu > Configuration**) as shown below.

Configuration	
General Project Settings	
Allow Risk Factor calculation	<input checked="" type="checkbox"/>
On Project Closure, Delete the Purpose/Scope/Source/Results/Conclusion History and attachment version history	<input type="checkbox"/>
Delete Procedure Notes on Project Closure	<input type="checkbox"/>
Delete Review Comments on Closure	<input type="checkbox"/>

## 1.5 New Terminology Configuration Options

ID# 33806, 33807, 34801, 34808, 34810, 48821

We've added some new configuration options to the *Terminology Configuration* tab (**Main Menu > Configuration > Terminology Configuration**).

You can now customize the following Table Headers:



## Product Enhancements

- Project
- Profile
- Likelihood
- Impact
- Comments

You can also update display names for:

- Recommendation Text
- Recommendation Number

Save Actions Permissions Logs Reports Attachment Recycle Bin Spelling

Configuration Attachments Terminology Configuration Labor Rates Security IndexFieldConfiguration Audit Retention Policy

Workflow Import Mapping Audit User Notifications eCase Labor Rates Rec Field Configuration

Initiating Office : **HOTLINE - Hotline Office** Folder Owner : **Admin Admin**

Terminology Configuration		
Label	Display Name	Internal Name
Source	Project: Source	Source

## 1.6 Allow Unlimited Escalations in Workflow

ID #36128

The **Send for Further Review** button now remains available on *Procedures, Attachments, Findings, Milestones, and Close Checklist* groups so you can escalate the task an unlimited number of times. The button is active until an assigned Reviewer or Preparer/Reviewer clicks **Approve** or **Reject**.

Project	Staff	Milestones	Project Cost	Procedure	Attachments	Observations	Closing Checklist	Approvals	Assignments	Action Log	Offline Client	Audit Assistance
Order	Dates	PlannedTest1	RevisedTest1	ActualTest1	CommentsTestMS	Review Comments						
1	Audit Start Conference <a href="#">History</a>	mm/dd/yyyy	mm/dd/yyyy	mm/dd/yyyy	Skipped because...	<div><div>Delete</div><div>Approve</div><div>Reject</div><div>Send For Further Review</div></div>						
2	New milestone <a href="#">History</a>	04/19/2023	mm/dd/yyyy	mm/dd/yyyy		<div><div>Delete</div><div>Review</div></div>						



## 1.7 Auto-Assign Attachments

ID# 36129

When a procedure is sent for review, associated attachments can now be auto assigned. This setting can be turned on/off under the *Configuration* tab, in the *Attachment Settings* section.

Home / Configuration AuditConfiguration.Folder [Open]

Save Actions Permissions Logs Reports Attachment Recycle Bin Spelling

Configuration Terminology Configuration Labor Rates IndexFieldConfiguration Audit Retention Policy Security Workflow eCase

**Attachment Settings**

Auto Lock Attachments after Review	<input checked="" type="checkbox"/>
Roles to Unlock Reviewed Attachments	Preparer X Preparer/Reviewer X
<b>Auto Assign Procedure Attachments</b>	<input checked="" type="checkbox"/>

**Procedure Settings**

Enable Procedure Lead	<input type="checkbox"/>
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## 1.8 Configure Display of FYP Project Creation Form

ID# 48253, 48737

We've also added further customization options to the FYP Project Creation form, customizable via the *Configuration* tab. You can show/hide the **(A) Profile** and **(B) Objective** columns on Project views. You can also add risk statements to FYP Project Creation forms by checking the **(C) Enable Risk Factor on Project** option. When this option is checked, risk mapping multi-select fields will display on the form.





## Product Enhancements

Configuration	Terminology Configuration	Labor Rates	IndexFieldConfiguration	Audit Retention Policy	Security	Workflow	eC
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Initiating Office : [AINS - AINS](#) Folder Owner : [Admin Admin](#)

**Configuration**

**General Project Settings**

Allow Risk Factor calculation	<input checked="" type="checkbox"/>
On Project Closure, Delete the Purpose/Scope/Source/Results/Conclusion History and attachment version history	<input type="checkbox"/>
Delete Procedure Notes on Project Closure	<input type="checkbox"/>
Delete Review Comments on Closure	<input type="checkbox"/>
Allowed groups under the staff tab of (Project Template/Fiscal Year/Project)	<div>Admin X Independence Statement Group X Preparer X</div>
Enable Profile on Fiscal Year Plans	<input type="checkbox"/>
Enable Objective on Fiscal Year Plans	<input type="checkbox"/>
Enable Profile on Project	<input checked="" type="checkbox"/>
Enable Objectives on Project	<input checked="" type="checkbox"/>
Enable Risk Factor on Project	<input checked="" type="checkbox"/>

## 1.9 Finding Tab Display Name

ID# 48817

If you customize the *Finding* tab display name, the updated name is also reflected on all related form headers for added consistency.



## 2 Bug Fixes

The following bug fixes were applied in the latest version of eCASE Audit:

ID	Description
32410	Corrected a bug where canceling a procedure approval task in the <i>Assignments</i> tab would set the task status as Prepared, breaking the workflow.
34165	Resolved a bug where field terminology changed in <i>Configuration</i> would not update properly in the <i>Fiscal Year Plan</i> launchpad.
34237	Fixed a bug allowing users to resubmit Procedure Attachment Approval tasks that have already been sent for review.
34832	Resolved a bug causing duplicate attachments of projects completed from a template.
46176	Addressed a bug where attachments were added to the wrong folder of projects completed from a template.
51522	Addressed a bug causing incorrect information to populate in Folder Reports.
48929	A user encountered a data formatting issue while exporting a Crystal Report in Microsoft Excel (97-2003) Data-Only format. We resolved this issue and now this report data displays correctly when exported to Excel.

