

ATIPXpress



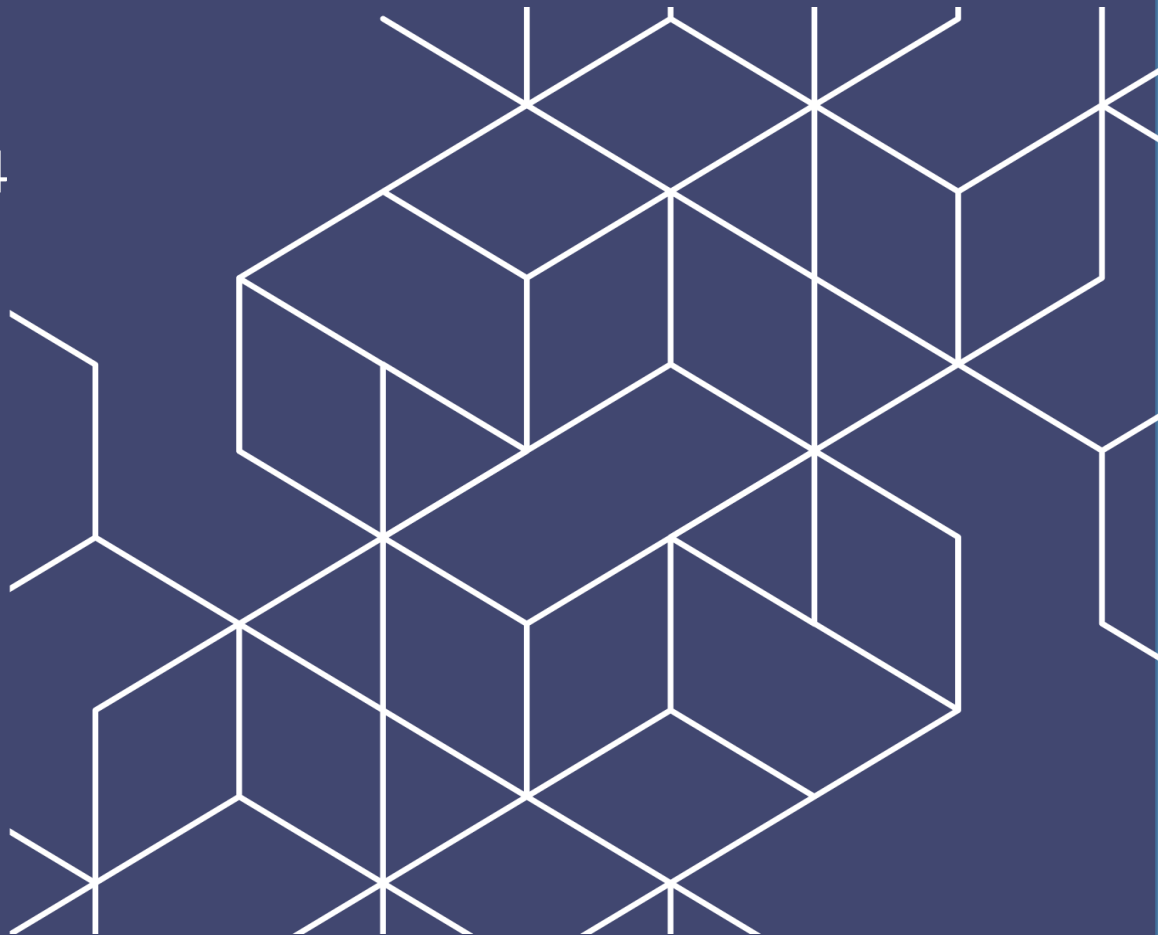
OPEXUS

Release Notes

Version 1.1

v11.7.1

July 2024



ATIPXpress 11.7.1 Release Notes

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Version History

Version	Date	Revision Summary
1.0	5/30/2024	Initial Release
1.1	7/3/2024	Revised the Release Overview (Section 1), consolidating several important notes under Section 1.2 (<i>Important Notes for v11.7.0</i>). This included adding details about the now-required ATIPXpress API, new requirements for the AI Assistant, and steps to correct the User Manual link.



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1 Release Overview

1.1 About ATIPXpress 11.7.1

Welcome to ATIPXpress v11.7.1, our spring release for 2024. Version 11.7.1 includes all our updates for 11.7.0, plus specific additions to support our Canadian customers.

Several exciting enhancements have arrived for ATIPXpress, including expanded application integrations. We're also continuing to refine our existing features and have added several usability enhancements in this latest version. Some of the release highlights include:

- Further refinements to our Statistical Reporting capabilities.
- Integration with the RelativityOne cloud eDiscovery tool.
- Enhancing EDR with improvements to how we handle documents that fail ingestion.
- Introducing auto-save to the Rich Text Editor for correspondence letters and templates, and supporting template files for import.
- Expanded the functionality of custom fields, including the ability to add attachments.
- Improvements to Multi-Factor Authentication, like allowing for PIV card-based MFA.
- Continued enhancements to our API.

We've also issued several bug fixes in this latest release, including fixes for customer-reported issues around request processing, application administration, document management, and reports.

Finally, we provide guidance on how to work around a known issue that we were not able to resolve in this release. This issue impacts users searching in French within Document Management. We'll continue to work to fully address this issue in a future release.

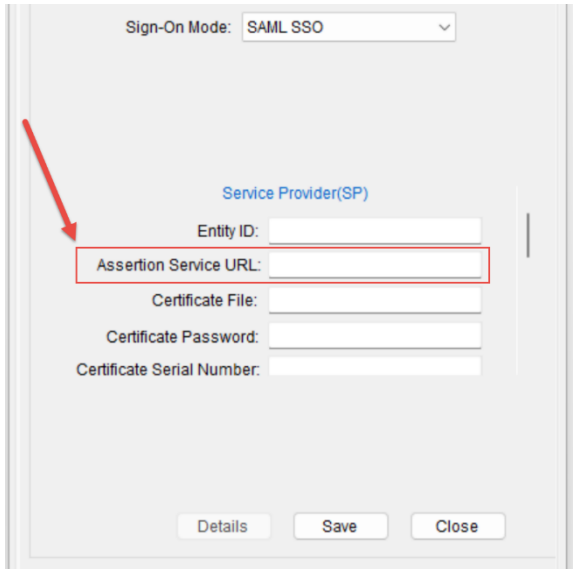
1.2 Important Notes for v11.7.X

1.2.1 SAML SSO

Note: If you use SAML SSO and are upgrading the v11.7.X, see this important note about extra action required during the upgrade process.

For this latest release, we've updated SAML Configuration with a new *Assertion Service URL*. If you are upgrading to v11.7.X, you must update the value for the Assertion Service Provider URL in the SAML Configuration tool:





You must also inform your Identity Provider about this change so they can record the updated assertion URL.

Note: If you do not update this URL with your identity provider SAML SSO will not function as expected.

The Assertion Service URL reads “https://DNS/ATIPXpress/AssertionConsumerService.aspx”

For example. if your ATIPXpress’s home page URL is

“https://myDns/ATIPXpress/OfficerHome.aspx” then you would enter

“https://myDns/ATIPXpress/AssertionConsumerService.aspx”

1.2.2 ATIPXpress API

The ATIPXpress API is required as part of v11.7.X. Because the API is now required, steps to install the API were moved from a standalone document into the Deployment Manual. See the updated [Deployment Manual](#) for details (included in the Version History table).

1.2.3 AI Assistant

For 11.7.X, the process and requirements for installing our AI Assistant feature has changed. This change also impacts existing customers using AI, and extra steps are required when upgrading to v11.7.X. The AI Assistant now requires installing both the ATIPXpress API, and the Stanford CoreNLP application, as well as adding both these URLs to ATIPXpress configuration. The AI Assistant feature will not function without completing this integration. See the [Deployment Manual](#) for all steps to configure the AI Assistant for 11.7.X.



1.2.4 Default User Manual Link

An important note when upgrading to AX 11.7.X: you must manually update the User Manual URL in the *General Settings*. The incorrect link was provided as a default value in the setup files, so these must be corrected manually. Follow the steps in the [Deployment Manual](#) to correct the manual link.



2 Statistical Report Refinements

For our Canadian customers, we focused on further enhancements to the Statistical Report, as outlined in the following sections.

2.1 Enhancing the Statistical Report

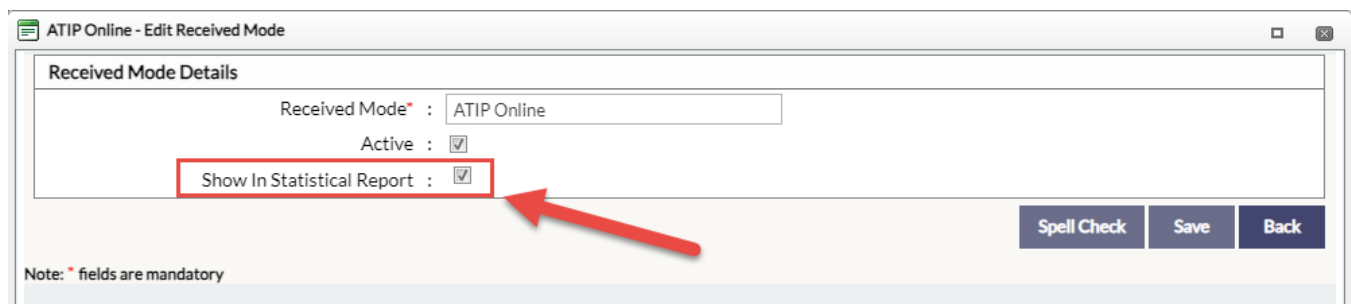
ID# 70624, 70177

Building on our work in version 11.6.0, we're continued to refine the Statistical Report output. With feedback we've received from customers, along with guidance from the government of Canada's 2022-2023 reporting guide, we've revised some of the report's labeling for better clarity and consistency.

2.2 Received Modes: Show In Statistical Report

ID# 70569, 71516

We've added a new "Show in Statistical Report" checkbox under Received Modes configuration (**Administration > Lookups > Received Modes > New/Edit**). All Received Modes with this checkbox selected will be included in all applicable Statistical Report sections. If this box is left unchecked for any Received Modes, these are not included in the Statistical Report.



When you create a new Received Mode, this box is checked by default. If you uncheck this box, the system prompts with a message reading "Unchecking 'Show in Statistical Report' will exclude all requests received in this mode from the counts in all sections of the Statistical Report."

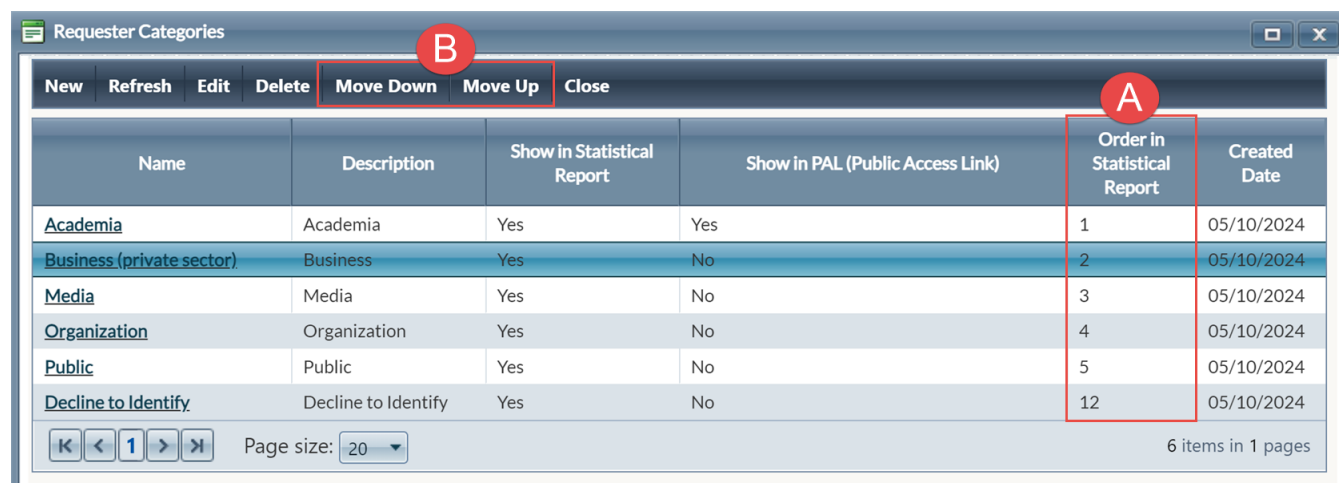
Note: This message only appears in the ATIA/PA Act type.



2.3 Requester Categories: Statistical Report Ordering

ID# 70882

Administrators can now set the Statistical Report ordering for Requester Categories (**Administration > Request Management > Requester Categories**). This configuration ensures that the Statistical Report lists Requester Categories in the defined order. You'll see the (A) *Order In Statistical Report* column which lists the order in which the categories appear in the Statistical Report. Select a category from the list, then user the (B) **Move Down** and **Move Up** buttons to change the order as needed.



Name	Description	Show in Statistical Report	Show in PAL (Public Access Link)	Order in Statistical Report	Created Date
Academia	Academia	Yes	Yes	1	05/10/2024
Business (private sector)	Business	Yes	No	2	05/10/2024
Media	Media	Yes	No	3	05/10/2024
Organization	Organization	Yes	No	4	05/10/2024
Public	Public	Yes	No	5	05/10/2024
Decline to Identify	Decline to Identify	Yes	No	12	05/10/2024

Page size: 20 6 items in 1 pages



3 RelativityOne Integration

We introduced an integration with the Relativity Server eDiscovery platform in v11.5.0. For this latest release, we're excited to expand this integration to support the cloud-based RelativityOne.



In addition to expanding our integrations, we want to ensure they're as simple as possible to use. RelativityOne maintains the same configuration and workflow from Relativity Server when integrating with ATIPXpress.

4 EDR: Failed Document Handling

ID# 62119, 63753, 62122, 62124, 62125

We've updated the way EDR handles files that fail ingestion due to known issues such as unsupported file type or password protection. If documents fail ingestion, you are notified of this failure immediately from the *EDR Document Upload* screen, as shown below:

EDR Document Upload
Completed

Load Indexing Ingesting Find Contained Find Relevant

Job Name : EDR - Load documents to EDR
Status : Completed
Job Instance : 6df8a1aa-59b9-4a12-bfe4-d88e6d34556e

1 uploaded 1 failed

FOIAXpress Release Notes_11.5.4.pdf
Reason: File encrypted

Go to Document Review Upload More Export Failed Items View Summary

The notification includes the (A) number of items that failed ingestion. You'll also see a (B) list of the failed files, along with the (C) reason for the failure. You can click any of the documents in the list to download that file directly.

From EDR, you'll also note a new **Failed Documents** button on the *EDR Home* tab. Click this button to view the list of failed items.

EDR Home All Documents Cluster Sets TAR Saved Searches Review Lists EDR Jobs Reports

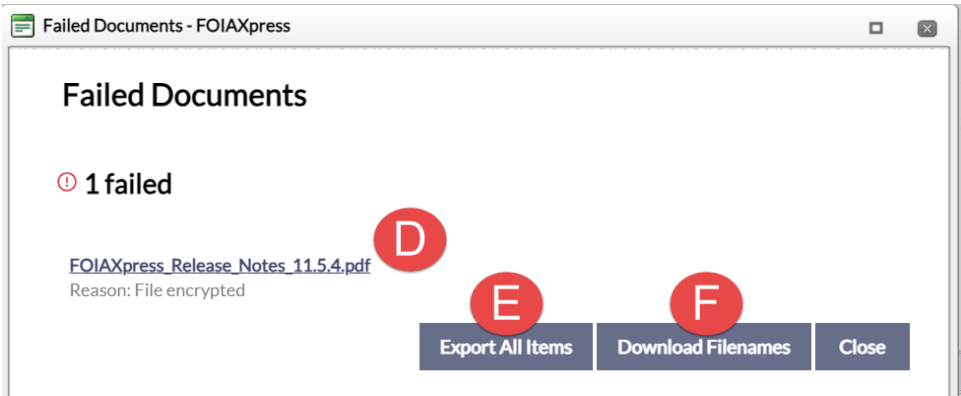
Request# : 24-FOI-00121 Refresh Dashboard Failed Documents (1)

Ingestion Process Summary Data Source Summary By Documents Count Data Source

All failed documents are (D) listed in this pop-up. Click documents in this list to download that file. You can also (E) **Export All Items** to export these to a designated folder using a system job or click (F) **Download Filenames** to download the full list of files to your downloads folder.



Improving EDR: Failed Document Handling



5 Request Processing Updates

5.1 Proof of Identity/Consent Requirements

We've added a **Proof of Identity/Consent not provided** checkbox to the *Close Request* screen. This allows users to close requests when proof of identity was not provided by the Requester. Selecting this checkbox bypasses the requirement for the *Proof of Identity/Consent Received Date* field:

Request Information

Assign Users

Correspondence

Request for Documents (0/0)

Electronic Document Review (1/1)

Add/Search/View Documents (0/0)

Fees/Billing

Final Actions

Deliver Documents

Close Request

Closed Details

Requester : Milbourne, Marcus

Organization :

Fee Waiver : N/A

Balance Due : \$ 0.00

Received Date : 3/7/2024

Disposition Accepted Date : 4/11/2024

Original Closed Date : 4/11/2024

Proof of Identity/Consent Received Date * : 4/11/2024

Closed Date* : 4/11/2024

Final Disposition : Granted/Denied in Part

Review Status : Select Review Status

Multi-Track Type : Expedite

☐ Proof of Identity/Consent not provided

5.2 Custom Field Functionality Enhancements

ID# 64684

We've enhanced the functionality of custom fields, including both the ability to attach files directly to these fields, and increasing the character limit on these fields to 2000 characters.

5.3 Requester Country Advanced Filter

ID# 6963

To aid in sorting and filtering international requests, we've added a new "Requester Country" filter to the Advanced search and reporting screen. Using this filter allows you to sort by Requester country in search and report outputs.



6 Correspondence Letters and Templates

6.1 Rich Text Editor Auto-Save Feature

ID# 59924, 63907

We've added auto-save to the rich text editor. Now, changes to correspondence letters and templates are saved automatically while you're working in the rich text editor.

To celebrate this addition, we've removed the note on the *System Settings* Administration page indicating that auto-save was unavailable when using the rich text editor.

6.2 Import .DOT and .DOTX Files

ID# 60193

You can now import Microsoft Word Template files (.DOT and .DOTX) as Correspondence Templates. For the best experience we do recommend converting these files to .DOC or .DOCX format before importing, however template files are now supported for importing templates.

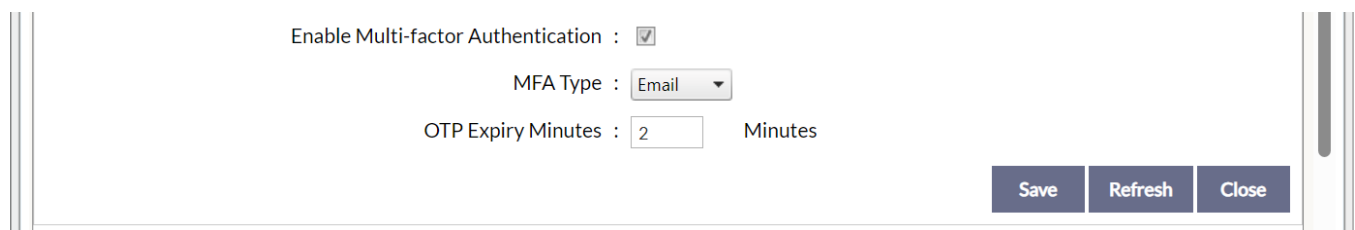


7 Multi-Factor Authentication Using PIV

ID# 59024, 64312

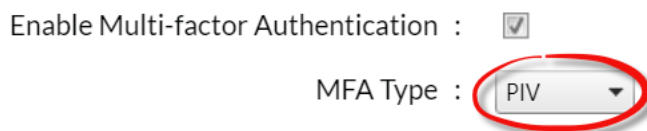
For organizations using Forms authentication to access ATIPXpress, we now offer multi-factor authentication using a PIV card. MFA via PIV works by validating the subject of the user's certificate against a pre-configured value.

You can enable PIV based authentication from the *Security Configuration* screen (**Administration > Security > Security Configuration**). Select the **Enable Multi-factor Authentication** checkbox to show the available options:



The screenshot shows the 'Security Configuration' screen. It features a checkbox for 'Enable Multi-factor Authentication' which is checked. Below it, the 'MFA Type' is set to 'Email' in a dropdown menu. The 'OTP Expiry Minutes' is set to '2' in a text box, followed by the word 'Minutes'. At the bottom right, there are three buttons: 'Save', 'Refresh', and 'Close'.

Select **PIV** from the *MFA Type* drop-down.



This close-up shows the 'MFA Type' dropdown menu. The 'PIV' option is selected and highlighted with a red circle. The 'Enable Multi-factor Authentication' checkbox is also visible and checked.

To link each user to the PIV, we added a new *Certificate Subject* field to the *Edit User* screen called. Use this field to populate PIV Subject values for your users. This field is only available if the application is configured to use Forms authentication.

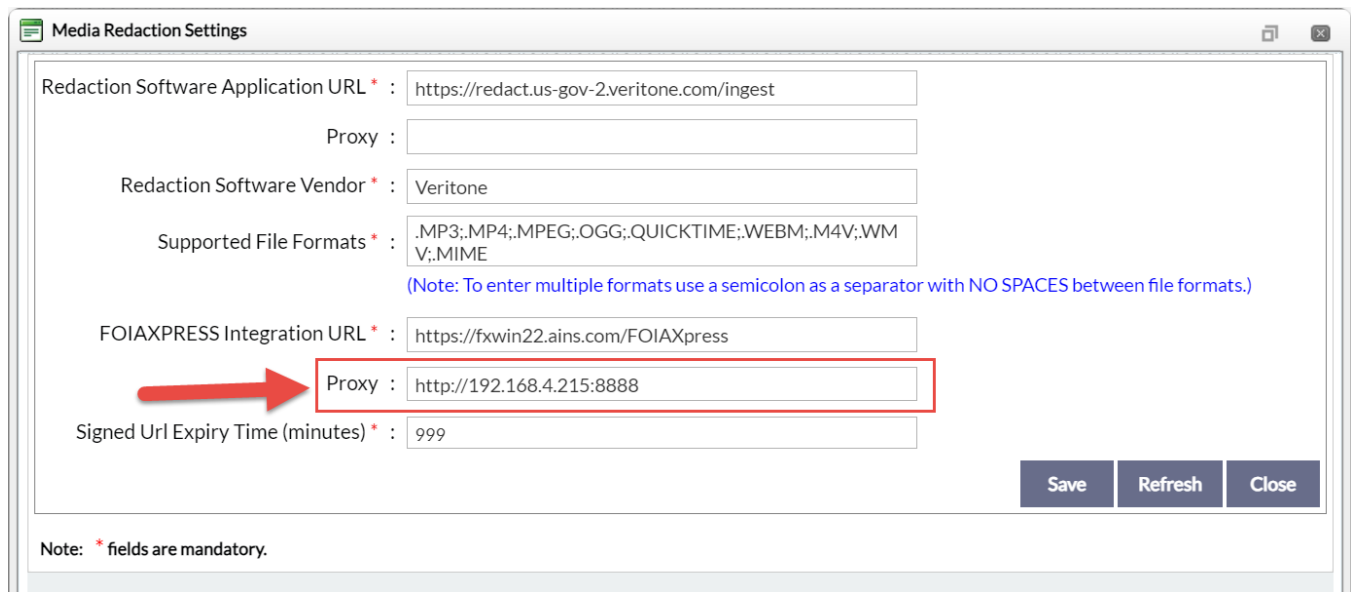
Note: PIV based MFA is only supported for Windows Server 2016, but we're continuing to test in additional configurations to expand availability of this feature.



8 Media Redaction Proxy Enabled

ID# 69785

We've enabled a new *Proxy* field in *Media Redaction Settings* (**Administration > Document Management > Media Redaction Settings**) that allows for proxy server integration. Redacted Videos Sent from Veritone to AX can now go through a proxy server without issue provided you've entered the proxy server IP in the Media Redaction settings.



The screenshot shows the 'Media Redaction Settings' form. It contains several input fields with labels and asterisks indicating they are mandatory. The fields are: 'Redaction Software Application URL' (https://redact.us-gov-2.veritone.com/ingest), 'Proxy' (empty), 'Redaction Software Vendor' (Veritone), 'Supported File Formats' (.MP3;.MP4;.MPEG;.OGG;.QUICKTIME;.WEBM;.M4V;.WMV;.MIME), 'FOIAXPRESS Integration URL' (https://fxwin22.ains.com/FOIAXpress), 'Proxy' (http://192.168.4.215:8888), and 'Signed Url Expiry Time (minutes)' (999). A red arrow points to the bottom 'Proxy' field, which is also highlighted with a red border. At the bottom right are 'Save', 'Refresh', and 'Close' buttons. A note at the bottom left states: 'Note: * fields are mandatory.'

Redaction Software Application URL *	https://redact.us-gov-2.veritone.com/ingest
Proxy :	
Redaction Software Vendor *	Veritone
Supported File Formats *	.MP3;.MP4;.MPEG;.OGG;.QUICKTIME;.WEBM;.M4V;.WMV;.MIME
(Note: To enter multiple formats use a semicolon as a separator with NO SPACES between file formats.)	
FOIAXPRESS Integration URL *	https://fxwin22.ains.com/FOIAXpress
Proxy :	http://192.168.4.215:8888
Signed Url Expiry Time (minutes) *	999

Save Refresh Close

Note: * fields are mandatory.

Note: There's a known issue where a second *Proxy* field is present on this screen. Please use the bottom *Proxy* field as highlighted in the sample above. Data entered in the top field is not retained by the system

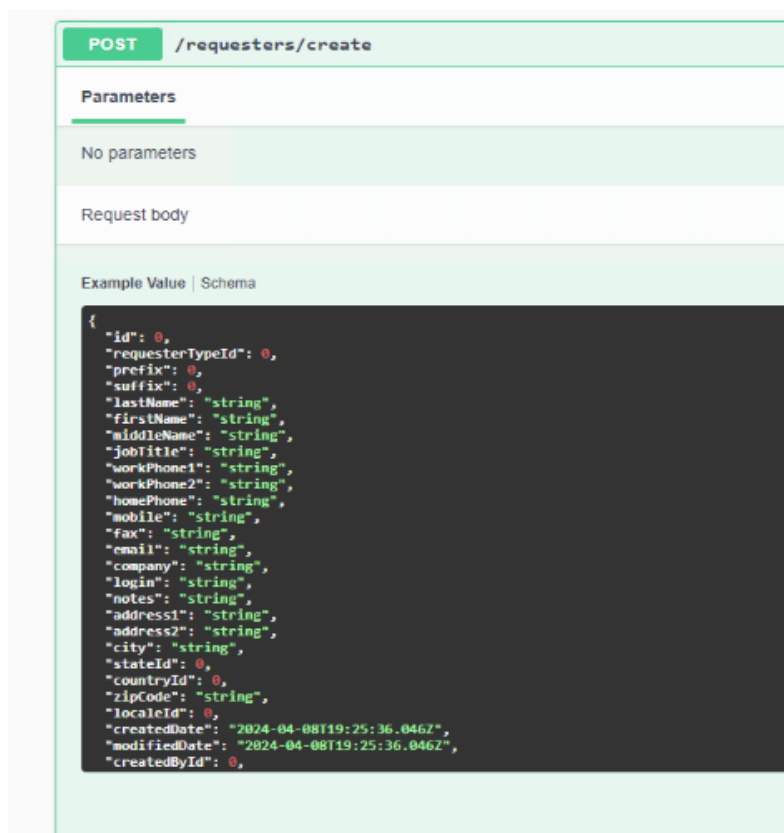


9 API Enhancements

IDs # 32960, 32966, 32968, 33026

We introduced a new API for v11.5.0, and we're continuing to build on the API's capabilities in this latest release. For 11.7.1, we've updated our API with additional methods including:

- Request Methods – Requests Count, update request, and create request
- Requesters Methods – Create requester, update requester, locale ID
- Email Service – Search inbox and return content
- Media Redaction – Obtain signed URLs for media files



POST /requesters/create

Parameters

No parameters

Request body

Example Value | Schema

```
{
  "id": 0,
  "requesterTypeId": 0,
  "prefix": 0,
  "suffix": 0,
  "lastName": "string",
  "firstName": "string",
  "middleName": "string",
  "jobTitle": "string",
  "workPhone1": "string",
  "workPhone2": "string",
  "homePhone": "string",
  "mobile": "string",
  "fax": "string",
  "email": "string",
  "company": "string",
  "login": "string",
  "notes": "string",
  "address1": "string",
  "address2": "string",
  "city": "string",
  "stateId": 0,
  "countryId": 0,
  "zipCode": "string",
  "localeId": 0,
  "createdDate": "2024-04-08T19:25:36.046Z",
  "modifiedDate": "2024-04-08T19:25:36.046Z",
  "createdById": 0,
}
```



10 Bug Fixes

We've corrected numerous bugs and issues in v11.7.1, as outlined in the following sections.

10.1 Request Processing

ID	Description
23339	Addressed a bug in which a Grace Period would be applied multiple times if a request was transferred more than once. The Grace Period now only applies once, and Remaining Days do not change on subsequent transfers after the first transfer.
57911	Addressed a bug causing the Request Age calculation to fail for every Request when there is a single request failure.
59732	Addressed a bug in which the Proof of Identity requirement was still displaying after it was disabled at the Request level.
60623	Resolved an issue where dashboard counts and charts were not displaying on user's dashboards. All dashboard charts now display the expected results.
61455	Fixed a bug in the Merge Requester History function where profiles were not successfully merged. These profiles can now be merged as expected.
55914	Fixed an issue with the drag and drop file upload feature on the Create Request screen where the file would upload in multiple locations. Using drag and drop to upload files on this form now only adds the file in the targeted location.
58782	Addressed an issue causing the Document Delivery job to fail while encrypting the delivery .zip file.



Bug Fixes

ID	Description
56139	When attempting to upload a file with a file name that is too long an alert will be shown that says "An error occurred while uploading the file: The file has exceeded the maximum allowed file name length please rename the file and try again." This applies to all file uploads in the application.
57693	Fixed an application error which occurred during a Requester search, when attempting to sort the results by the requester's last name.
59731	Fixed an issue on the Add/Search/View Documents tab where the drag and drop attachment feature was not correctly attaching emails.
62700	Resolved an issue when sending a Request for Documents where the backspace key did not function correctly within the message body field.
64170	Fixed a bug where using the Add Documents button automatically defaulted to the Review Log rather than the Request Folder.
49212	Corrected a dashboard issue where Action Office Managers were not seeing the Overdue Request count on their dashboard.
57747	Resolved an issue causing a timeout error when attempting to delete the failed Delivery Log for a large number of pages.
62568	Fixed a bug where the Backspace key did not function correctly when editing a Correspondence Template.



10.2 Document Management

ID	Description
59640	We've added a 180-character limit to Folder and Section names. If a user tries to save a Folder or Section name over this limit, an error message appears prompting the user to rename the item.
61833	Section names with an ampersand "&" were causing a Save to Disk job failure. We've resolved the issue and Section names with ampersands can now be saved.
63919	Addressed a bug in which uploading editable PDF forms during an Add Documents job caused a memory spike.
69202	Fixed a bug that caused redactions to be removed from a specific page during the document delivery process.
69206	Fixed a bug that caused full page redactions to be incorrectly applied in portrait mode despite the page being in landscape format.
62213	Fixed an issue where redaction codes created containing single quotes cause the find and replace feature to not functional correctly.
59577	Fixed an issue with the AI Assistant where statute codes applied using the AI Assistant were missing from the redaction code menu.



10.3 EDR

ID	Description
32679	Corrected an issue in which filters on a Request Report changed after the Report criteria was saved.
58831	Fixed a dashboard bug which caused some elements of the EDR Home tab to not display correctly.
61776	After updating the EDR paths in EDR Configuration, users can now access both the existing and new EDR data sources without any issue, provided the newly configured paths are accessible.
62170	Resolved an issue where duplicate EDR statuses triggered an exception error.

10.4 Reports

ID	Description
60613	Corrected an issue in which filters on a Request Report changed after the Report criteria was saved.
62734	Corrected an issue in which User filter criteria for Custom Reports would not be applied and the Report would display results for all users.
57728	Fixed an issue where a report output did not include the report name.
50013	Resolved an issue where documents including multiple sections with pages were not being counted correctly in custom Request Reports.



ID	Description
66124	Corrected a bug in which HTML for the Statistical Report was displaying in an exported CSV file.

10.5 Security

ID	Description
63897	Fixed an error where users were not automatically logged out of the application after the configured session expiration period.

10.6 Administration

ID	Description
57471	Fixed a bug in which custom configured application pool accounts were switched to Network Service configuration.
59729	Fixed a bug encountered after an update to the repository file path made on the <i>System Settings</i> page was not updated in the file cabinet table in the database, causing an error to occur when uploading documents.
60558	Addressed a bug in which extra whitespace in the Program Office email address caused these email addresses to incorrectly auto-populate. This field now automatically accounts for additional whitespace.
27884	Fixed a SAML authentication bug that caused continuous writing to the error log, causing issues within the application.



Bug Fixes

ID	Description
59687	Resolved an issue in the Retention Module where the Delete Documents function did not work as expected.



11 Known Issues

11.1 French Apostrophe OCR Issue

There is a known Optical Character Recognition (OCR) issue in this version of ATIPXpress. Our OCR engine does not recognize the French apostrophe (') and instead reads this as an English apostrophe ('). Therefore, users who enter the French apostrophe when using the Find and Redact feature in Document Management are not seeing the expected search results, as the system only recognizes the English apostrophe.

We are working to improve our OCR capabilities to recognize both the English and French apostrophe. As a workaround, when using the Find and Redact feature to locate words or phrases which include a French apostrophe, please instead search using the English apostrophe, as this will locate any matching text which includes the French apostrophe.

11.2 EDR Search Limitation

Due to a third-party limitation, email subjects cannot be used as file names in EDR. These cannot be properly filtered and are not included in search results. Because this issue originates with a third party, we are unable to offer a prospective timeline on a resolution.

